Salivary biomarkers as a diagnostic tool

Taniya Malhotra¹, Akshat Sachdeva², Sumit Bhateja^{3,*}, Geetika Arora⁴

^{1,2}Dental Surgeon (BDS), ^{3,4}Reader (MDS), ³Dept. of Oral Medicine and Radiology, ⁴Dept. of Public Health Dentistry, ¹⁻³Manav Rachna Dental College Faridabad, Haryana, ⁴Inderprastha Dental College Sahibabad, Uttar Pradesh, India

*Corresponding Author: Sumit Bhateja

Email: sumit.mrdc@mrei.ac.in

Abstract

Saliva, a biological fluid is steadily emerging as a potent diagnostic tool in modern day health and disease. Advances in molecular biology have led to the invent of certain markers for diagnosis of conditions like oral cancer and dental caries to name a few. In addition, saliva based biomarkers are cost—effective, accurate and provide a noninvasive diagnostic approach. Detecting pathologies at earlier stages can significantly increase survival rates and affect treatment prognosis. The present article discusses various salivary biomarkers that can aid in the diagnosis of many such systemic conditions.

Keywords: Saliva, Biomarkers, Systemic Conditions.

Introduction

Saliva is a dilute aqueous solution that contains both inorganic & organic constituents and plays an essential role during mastication, swallowing and speech.

The term biomarker refers to any measurable and quantifiable biological entity than can serve as an indicator for health related assessments. Salivary diagnostics is indeed a dynamic and emerging field that takes into consideration the concept of molecular diagnostics that aids in the diagnosis of several oral and systemic diseases using salivary biomarkers. Saliva is a readily available and easily fetched specimen, which can be collected by non – invasive procedures and contains many hormones and antibodies that have proven to be an inevitable aid in screening and diagnosis. Salivary diagnostics has undoubtedly evolved overtime and serves as a subset of the larger field of molecular diagnostics, now recognized in a wide variety of clinical areas.

Listed below are few systemic conditions and the role of salivary biomarkers in their detection:

Oral Cancer

Oral cancer is one of the globally concerned health problems. Increased incidences of tobacco consumption among young population nowadays are an alarming scenario. Delayed diagnosis is the major causative factor for high morbidity rates in oral squamous cell carcinoma.² Studies conducted in the field of molecular biology help in assessment of cancer risk as well as prediction of prognosis.

Tumor Marker: A tumor marker is defined as a substance found in any body fluid that may be an indication of cancer or certain noncancerous conditions.³ They maybe unique genes or their products which are present only in cancer cells.⁴ Tumor markers have been reported to be

significantly increased in the saliva of patients diagnosed with oral cancer.⁵

Alterations in Host Cellular DNA: DNA markers originate from dead cells and are detected in the early stages of tumorigenesis. However, tissue specificity of DNA markers is very low.⁶ Studies have suggested that premalignant lesions with aneuploidy have a higher transformation rate into malignancy as compared to lesions with normal DNA content.⁷ Studies have also demonstrated that loss of heterozygosity in regions that contain a known human suppressor gene is an early predictor of malignant transformation of precancerous lesion.⁸ Mitochondrial DNA mutations have proven to be useful for detection of exfoliated oral squamous cell carcinoma [OSCC] cells in saliva.⁹

RNA as a Biomarker: RNA has been found to be an informative marker for the identification of oral cancer. Scientists have earlier compared the clinical accuracy of saliva with that of blood RNA biomarker for oral cancer detection and discovered four RNA biomarkers having a sensitivity and specificity of 91% and 71% respectively. ¹⁰

Protein Markers: Salivary protein markers have shown moderate sensitivity and specificity as prognostic markers. Few studies have indicated that saliva contains specific proteins that may serve as potential biomarkers for OSCC. This can be attributed to the fact that 46 proteins were found at contrasting levels between OSCC and control groups. Metalloproteinases such as MMP-11 and MMP-2 were found to be significantly altered in OSCC. Shpitzer, *et al.* in their study found a 39% increase in MMP-9 with a sensitivity and specificity of 100% & 79% respectively in OSCC patients. The protein specificates are shown as the sensitivity and specificates of 100% & 79% respectively in OSCC patients.

Markopoulos *et al*⁹ have summarized various molecular markers useful for early diagnosis of OSCC [Table 1]

Table 1: Molecular Markers for Oral Cancer

Changes in Cellular DNA	Altered mRNA Transcript	Altered Protein Markers
Mitochondrial DNA mutations	Presence of IL-8	Elevated levels of Defensin-1
P ⁵³ gene mutations	Dual specificity phosphatase-1	Inhibitors of apoptosis
Cyclin D1 gene amplification	Ornithine decarboxylase antizyme 1	Serum tumor marker
Presence of HPV and EBV virus genome	S100 calcium binding protein P	Lactate dehydrogenase
Increase in Ki67 markers	Spermidine N1-acetyl transferase	Squamous cell carcinoma associated antigen

Dental Caries

Dental caries is defined as an infectious microbiologic disease of the teeth that results in localized dissolution and destruction of calcified tissues. ¹⁴ The main strain of bacteria responsible for the causation of caries is *Streptococcus mutans*. The acidic metabolites cause a local pH fall below a critical value (pH 5.5) that eventually results in demineralization of the tooth tissue. ¹⁵ In a study conducted in Colombia it was observed that in females, more proteins were found in women with a history of caries as compared to women with active caries. ¹⁶ The identification of salivary biomarkers of dental caries is important for identification of individuals with risk to develop caries and institute a preventive treatment.

Immunoglobulin A: Salivary antibodies constitute the first line of immune defense. Immunoglobulin secretory IgA (sIgA) plays an important role against the pathogenesis of dental caries by causing aggregation to specific bacterial proteins and consequently leading to agglutination and inactivation of bacterial enzymes and toxins. In addition it also promotes the inhibition of bacterial adherence by the reduction of hydrophobicity of bacteria. ¹⁷ In a previously conducted study, it was observed that the total salivary concentration of sIgA was higher in caries free children than in the other groups with active caries. ¹⁸

Mucins: are glycoproteins produced by salivary glands which protect tooth surface from demineralization. This can be demonstrated by the fact that when their levels are decreased, there is an increased incidence of dental caries. Saliva contains two forms of mucins, the high-molecular-weight mucin glycoprotein-1(MG1 or MUC5b) and the low-molecular-weight mucin glycoprotein-2 (MG2 or MUC7). In a previously conducted study, a correlation between the quantity of proteins MG1, MG2 and DMFT index was observed. The results displayed that the absence of 6-13% of mucins was associated with a higher DMFT index. 19

Defensins: are small cationic proteins with antimicrobial properties. Presence of peptides enables them to kill a variety of bacteria, fungi and enveloped viruses. Defensins are divided into $\alpha\text{-defensins}$ and $\beta\text{-defensins}$. In a study conducted by Ouhara et al, 21 the presence of $\beta\text{-defensins}$ revealed an increased incidence of antimicrobial activity against gram-negative and gram-positive bacteria, fungi as well as viruses which is an important factor for the protection of oral tissues.

Periodontal Disease

Periodontal disease (commonly referred as periodontitis) is a chronic disorder affecting the oral cavity consisting of a group of inflammatory conditions affecting

the periodontium. Periodontitis is a multifactorial disease caused by both genetic as well as environmental factors. Biomarkers for diagnosis of periodontal diseases have been listed below:

Specific Markers

Immunoglobulins: Immunoglobulins (Ig) constitute major defense factors of saliva. The predominant Ig is secretory IgA (sIgA). IgG and IgM are also present but in lesser quantities as compared to IgA. IgA, IgG, and IgM collectively influence the oral microbiota by inhibiting bacterial metabolism. Eggert *et al.* Preported that saliva from treated periodontitis patients had higher IgA and IgG levels as compared to saliva sample from control population.

Enzymes

Lysozyme: Antibacterial enzyme that can lyse bacterial species. It may also lead to disintegration of bacterial cells via interaction with monovalent anions and proteases found in saliva. Plaque accumulation, a risk factor for the causation of periodontal disease is more likely to occur in individuals with low lysozyme levels.²⁴

Peroxidase: This enzyme eliminates hydrogen peroxide and reduces acid production thereby reducing plaque accumulation and disables inception of gingivitis. High peroxidase concentrations have been observed in patients of periodontal disease.²⁵

Non – specific Markers

Lactoferrin: Iron binding glycoprotein which is produced by salivary glands. Lactoferrin has been detected in higher concentrations in saliva of people suffering from periodontitis as compared to healthy population.²⁶

Histatin: Salivary protein secreted from parotid and submandibular glands. Inhibition of bacterial enzymes involved in the destruction of periodontium is a peculiar feature of histatin.²⁷

Hormones: Studies in the past have advocated that emotional stress could probably act as a predisposing factor for periodontitis. ²⁸ One mechanism proposed to account for this relationship is that elevated serum cortisol levels associated with emotional stress exert a strong inhibitory effect on the inflammatory process and immune response. ²⁹ Also, higher cortisol levels were detected in individuals exhibiting severe periodontitis.

Possible HIV Testing: The use of saliva for diagnosis in clinical practice is slowly emerging and on the rise. Majority of the tests used for diagnosis of HIV utilize whole blood or plasma. A few of them have employed

nonconventional specimens like saliva and urine.³⁰ Oral fluid tests are based on a salivary component, the oral mucosal transudate or crevicular fluid, an interstitial transudate rich in IgG antibodies, used for diagnosing HIV infection.³⁰ But it cannot be ruled out that the results of oral fluid tests require do confirmatory testing with conventional tests, such as ELISA and/or western blot.

Saliva Versus Blood

Blood has been traditionally and most frequently used over the years for the purpose of analyzing measurable biomarkers. Employing salivary fluids as a medium for biomarker detection and evaluation markedly alleviates patient discomfort through the provision of a noninvasive method. Comparatively, saliva carries certain advantages over blood which include:

- 1. Blood collection requires trained personnel. Saliva procurement can be done by anyone including self.
- 2. Sample collection is painless and non invasive thus reducing patient discomfort.
- 3. Samples are safer to handle.
- 4. Economical procedure.

Despite these favorable traits, saliva as a diagnostic tool is yet to be accepted as a mainstream idea. Both fluids are separate and unique in their own way. Hence, it is imperative that we explore saliva as a potential alternative to blood based diagnostics.

Salivaomics

Till date there have been numerous salivary biomarkers proposed for diagnosis of disorders. But it is unlikely that a single marker will prove to be both sensitive and specific. Traditional clinical criteria are insufficient for determining sites of active disease or for measuring susceptibility to future disease progression. Though saliva has enormous elements with diagnostic potential, omic technology made it possible to achieve the best of the saliva's diagnostic potential into clinical practice. Omic technologies include genomics, transcriptomics, proteomics, and metabolomics. They allow for disease-specific salivary biomarker discovery and establishment of rapid and miniaturized analytical salivary assays. Genomic testing could allow long-term planning for more effective dental disease prevention as well as reduce the uncertainty of diagnosis and prognosis.

Conclusion

Saliva is a potential diagnostic tool that offers an easy and noninvasive approach for disease detection like oral cancer, dental caries and periodontitis to name a few. It possesses a high potential to revolutionize the next generation of molecular diagnostics. Presence of salivary biomarkers can aid in early diagnosis. Discovering, validating and understanding saliva-based biomarkers could have a considerable role in establishing oral fluids as a credible diagnostic tool.

Conflict of Interest: None.

References

- Nadine Spielmann and David T. Wong, Saliva: Diagnostics and therapeutic perspectives, School of Dentistry and Dental Research Institute, University of California–Los Angeles: Published in final edited form as: Oral Dis 2011;17(4):345– 354
- Peacock S, Pogrel A, Schmidt BL. Exploring the reasons for delay in treatment of oral cancer. Am Dent Assoc 2008;139:1346-1352
- https://www.cancer.gov/publications/dictionaries/cancerterms/def/tumor-marker
- Rassekh CH, Johnson JT, Eibling DE. Circulating markers in squamous cell carcinoma of the head and neck: A review. Eur J Cancer B Oral Oncol 1994;30:23-28.
- Rajkumar K, Kumar AR, Ramyamalini V, Nandhini G, Kumar TD, Ashwini BK, et al. Estimation of serological and salivary biomarkers in patients with Oral Squamous cell carcinoma, premalignant lesions and conditions. SRM Univ J Dent Sci 2010;1:14-19.
- Lichtenstein AV, Potapova GI. Genetic defects as tumor markers. Mol Biol 2003;37;159-169.
- Sudbo J, Kildal W, Risberg B, Koppang HS, Danielsen HE, Reith A et al. DNA content as a prognostic marker in patients with oral leukoplakia. N Engl J Med 2001;344:1270-1278.
- Zhang L, Rosin MP. Loss of heterozygosity: A potential tool in management of oral premalignant lesions? *J Oral Pathol Med* 2001;30:513-520.
- Markopoulos AK, Michailidou EZ, Tzimagiorgis G. Salivary markers for oral cancer detection. *Open Dent J* 2010;4:171-178.
- Wong DT. Salivary diagnostics powered by nanotechnologies, proteomics and Genomics. JADA 2006;137:313-21.
- Hu S, Arellano M, Boontheung P, Wang J, Zhou H, Jiang J, et al. Salivary proteomics for oral cancer biomarker discovery. Clin Cancer Res 2008;14:6246-6252.
- 12. Shpitzer T, Bahar G, Feinmesser R, Nagler RM. A comprehensive salivary analysis for oral cancer diagnosis. *Cancer Res Clin Oncol* 2007;133:613-617.
- Shpitzer T, Hamzany Y, Bahar G, Feinmesser R, Savulescu D, Borovoi I, et al. Salivary analysis of oral cancer biomarkers. Br J Cancer 2009;101:1194-1198.
- Sturdevant's Art and Science of Operative Dentistry, 5th edition; Ch. 3: Cariology.
- Featherstone JD. The continuum of dental caries--evidence for a dynamic disease process. Journal of dental research. 2004;83 Spec No C:C39-42.
- Roa NS, Chaves M, Gomez M, Jaramillo LM. Association of salivary proteins with dental caries in a Colombian population. Acta odontol latinoamericana 2008;21(1):69-75.
- 17. Fabian TK, Hermann P, Beck A, Fejerdy P, Fabian G. Salivary defense proteins: their network and role in innate and acquired oral immunity. *Int j mol sci* 2012;13(4):4295-4320.
- Chawda JG, Chaduvula N, Patel HR, Jain SS, Lala AK. Salivary SIgA and dental caries activity. *Indian pediatr* 2011;48(9):719-721.
- Banderas-Tarabay JA, Zacarias-D'Oleire IG, Garduno-Estrada R, Aceves-Luna E, Gonzalez-Begne M. Electrophoretic analysis of whole saliva and prevalence of dental caries. A study in Mexican dental students. *Arch med res* 2002;33(5):499-505.
- Ozturk A, Famili P, Vieira AR. The antimicrobial peptide DEFB1 is associated with caries. *J dent res* 2010;89(6):631-636.

- Ouhara K, Komatsuzawa H, Yamada S, Shiba H, Fujiwara T, Ohara M, et al. Susceptibilities of periodontopathogenic and cariogenic bacteria to antibacterial peptides, {beta}-defensins and LL37, produced by human epithelial cells. *J antimicrob chemothe* 2005;55(6):888-896.
- Marcotte H, Lavoie MC. Oral microbial ecology and the role of salivary immunoglobulin A. *Microbiol Mol Biol Rev* 1998;62:71-109.
- Eggert FM, Maenz L, Tam YC. Measuring the interaction of human secretory glycoproteins to oral bacteria. *J Dent Res* 1987;66:610-612.
- 24. Jalil RA, Ashley FP, Wilson RF, Wagaiyu EG. Concentrations of thiocyanate, hypothiocyanite, "free" and "total" lysozyme, lactoferrin and secretory IgA in resting and stimulated whole saliva of children aged 12-14 years and the relationship with plaque accumulation and gingivitis. *J Periodont Res* 1993;28:130-136.
- Guven Y, Satman I, Dinccag N, Alptekin S. Salivary peroxidase activity in whole saliva of patients with insulindependent (type-1) diabetes mellitus. *J Clin Periodontol* 1996:23:879-881.
- Groenink J, Walgreen-Weterings E, Nazmi K, Bolscher JG, Veerman EC, van Winkelhoff AJ, et al. Salivary lactoferrin and low-Mr mucin MG2 in Actinobacillus

- actinomycetemcomitans associated periodontitis. *J Clin Periodontol* 1999;26:269-275.
- 27. Helmerhorst EJ, Oppenheim FG. Saliva: A dynamic proteome. *J Dent Res* 2007;86:680-693.
- Linden GJ, Mullally BH, Freeman R. Stress and the progression of periodontal disease. *J Clin Periodontol* 1996;23:675-680.
- Chrousos GP, Gold PW. The concepts of stress and stress system disorders: Overview of physical and behavioral homeostasis. *J Am Med Assoc* 1992;267:1244-1252.
- Gottfried TD, Mink RW, Phanuphak P. Calypte AWARE HIV-1/2 OMT antibody test using oral fluid: Special challenges of rapid HIV testing in the developing world. Expert Rev Mol Diagn 2006;6:139-144.
- 31. Koneru S, Tanikonda R. Salivaomics A promising future in early diagnosis of dental diseases. *Dent Res J* 2014;11:11-15.
- Grant MM. What do _omic technologies have to offer periodontal clinical practice in the future? *J Periodontal Res* 2012;47:2-14.

How to cite this article: Malhotra T, Sachdeva A, Bhateja S, Arora G, Salivary biomarkers as a diagnostic tool. *J Surg Allied Sci* 2019;1(1):1-4